



Form CRS

CLIENT RELATIONSHIP SUMMARY

March 29, 2024

Introduction

ARS Investment Partners, LLC is registered with the Securities and Exchange Commission as an investment adviser. The services offered and fees charged by an investment adviser differ from those of broker-dealers and it is important that you understand the differences. Free and simple tools are available to research investment adviser firms, broker-dealers, and their financial professionals at www.investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

Relationships and Services

What investment services and advice can you provide me? We offer investment advisory services to retail investors primarily through separately managed accounts (“accounts”), private funds (“funds”), and financial planning services. Our advice is not limited to certain types of products or investments, but we typically invest in stocks, bonds, and ETFs. We monitor your investments as part of our standard services. Through choices you make when you sign our Investment Management Agreement, you may choose to give us the authority to buy and sell investments on your behalf (a “**discretionary account**”). Alternatively, we may provide advice but you choose to make the decisions on which investments to buy and sell (a “**non-discretionary account**”). If you have a non-discretionary account, we do not have the authority to buy or sell investments on your behalf.

We typically require a minimum account size of \$250,000.00 to open an account with us, although management may make exceptions. **Please see the more detailed disclosure on the investment services and advice that we can provide to you in Items 4 and 7 of our [Form ADV Part 2A](#).**

Conversation Starters. *Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?*

Fees, Costs, Conflicts, and Standard of Conduct

What fees will I pay? You will pay us an asset-based fee ranging from 0.35% to 1.50% of the net assets in your account each year. If you are a fund investor, you may also be charged a performance-based fee ranging from 0.90% to 15% of the appreciation of your fund interest each year. Because we charge an asset-based fee, the more assets there are in your advisory account, the more you will pay in fees, and we therefore have an incentive to encourage you to increase the assets in your account. You will also pay other fees and costs, including custodian fees, brokerage fees, interest fees, taxes, duties and other governmental charges, transfer and registration fees, foreign exchange transaction costs, fees, and costs charged by other investment advisers or funds, account maintenance fees, mutual fund fees, variable annuities fees, and other transactional fees and product-level fees. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. **Please make sure you understand what fees and costs you are paying. For a more detailed description of our fees and costs, see Items 5.A., B., C., and D. of our [Form ADV Part 2A](#).**

Conversation Starters. *Help me understand how these fees and costs might affect my investments. If I give you \$250,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money
ARS Investment Partners, LLC Page 1 of 3 Form CRS/Customer Relationship Summary

and what conflicts of interest do you have? When we act as your investment adviser, we must act in your best interest

and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. The following examples help you understand how some arrangements and activities give us an incentive to make investments for you based on our own interests rather than on your needs.

Proprietary Products. We invest your assets in investments, including private funds that are issued, sponsored, or managed by us or our affiliates. We have a financial incentive to recommend proprietary or affiliated funds because we and/or our affiliates receive additional compensation from those investments.

Performance-Based Compensation. We receive compensation based on the performance of your account in our private funds, which gives us an incentive to make riskier investments.

Broker Selection. When we choose broker-dealers to execute your transactions, we consider the research, products, and services that we receive from broker-dealers. Depending on the broker-dealer we select, we may cause you to pay a broker-dealer that provides brokerage and research services a commission or transaction cost in excess of what another broker-dealer would have charged.

Personal Trading. We invest personally in the same or related securities that we recommend to you. We also buy or sell securities for you at or about the same time that we buy or sell the same securities for our own accounts. This gives us an incentive to favor our own accounts over your account.

Conversation Starters. *How might your conflicts of interest affect me, and how will you address them?*

Please see more detailed information on our conflicts of interest in Items 6, 7, 8, 11, 12, and 17 of our [Form ADV Part 2A](#).

How do your financial professionals make money? We pay our financial professionals an annual salary and discretionary bonuses.

Disciplinary History

Do you or your financial professionals have legal or disciplinary history? Yes. Visit www.investor.gov/CRS for a free and simple search tool to research us and our financial professionals, as well as information provided in Item 9 of our [Form ADV Part 2A](#).

Conversation Starters. *As a financial professional, do you have any disciplinary history? For what type of conduct?*

Additional Information

You can find additional information about our investment advisory services on the [SEC's website](#) and on our own [website](#). You can also ask questions or request current information, including a paper copy of this relationship summary, by contacting us at 212.687.9800.

Conversation Starters. *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*